



A STUDY OF MERCY PETITIONS IN INDIA: PROCESSES, OUTCOMES, AND IMPLICATIONS

AUTHOR – PARTH NARAYAN SINGH, STUDENT AT UTTARANCHAL UNIVERSITY

BEST CITATION – PARTH NARAYAN SINGH, A STUDY OF MERCY PETITIONS IN INDIA: PROCESSES, OUTCOMES, AND IMPLICATIONS, *INDIAN JOURNAL OF LEGAL REVIEW (IJLR)*, 4 (2) OF 2024, PG. 418-424, APIS – 3920 – 0001 & ISSN – 2583-2344.

Abstract

In India, mercy petitions play a vital role in balancing governance, compassion, and justice by serving as a conduit between the executive and judiciary branches. People facing harsh sentences, including the death penalty, can petition the President of India or the governors of individual states for clemency. As a buffer against possible judicial errors and harsh sentences, the mercy petition process emphasizes human rights and humanitarian considerations; it is based on legislative foundations from the colonial era and has been refined through constitutional changes and judicial interpretations.

Articles 72 and 161 of the Indian Constitution, which provide clemency to the President and Governors, are emphasized in the study, which examines the legal foundation supporting mercy petitions. A decision is made by the President or Governor after the review process has gone through several levels, including recommendations from the judiciary, police, and jail officials. Significant case studies like Kehar Singh, Dhananjay Chatterjee, and Devender Pal Singh Bhullar demonstrate how humanitarian, social, political, and legal considerations impact results. These judgments are greatly influenced by public opinion and the media, which represent the ideals of society.

Establishing clear criteria, strengthening documentation and reporting, and maintaining time-bound processes are some recommendations for making the mercy petition process more transparent and equitable. To bring the Indian mercy petition procedure in line with international human rights norms and to improve the delivery of justice, there must be public discussion and legislative changes backed by thorough case study evaluations.

1. Introduction

An integral part of the relationship between the Indian judiciary and the administrative branch, mercy petitions exemplify the fine line between fairness, compassion, and leadership. Someone facing the death penalty or other severe punishments in India can formally ask the President of India or the Governors of states for clemency by submitting a mercy petition. By going through this procedure, the case can be reviewed from a humanitarian perspective, with the goals of avoiding injustice and protecting basic human rights. An individual's request for clemency or pardon following a conviction is

essentially what a mercy petition is all about. This system has undergone substantial evolution in India as a result of constitutional revisions and court interpretations, while it has its origins in legal frameworks from the colonial era. As a last option for those seeking relief from severe fines, the mercy petition process is an important safeguard against any mistakes in judgment or excessively harsh sanctions.

2. Importance of Studying Mercy Petitions: Legal, Ethical, and Humanitarian Perspectives

Considering mercy petitions from a variety of angles is an absolutely necessary activity. From a legal standpoint, it provides insights into the procedures of executive clemency as well as

the interaction between court verdicts and executive discretion. In terms of ethics, it stimulates conversations about the fundamentals of justice and fairness, as well as the equilibrium that exists between retribution and rehabilitation in the context of criminal justice systems. Mercy petitions, when viewed from a humanitarian perspective, highlight the societal ideals of compassion and the respect of inherent dignity, even in the middle of the administration of justice. The understanding of the procedures, results, and repercussions of mercy petitions in India is essential not only for legal scholars and practitioners, but also for policymakers and advocates who are working to defend constitutional values and human rights norms in the field of criminal justice. In order to set the stage, this introduction will first define mercy petitions, then analyze the historical context in which they have been used in India, and then highlight the significance of mercy petitions from a legal, ethical, and humanitarian perspective. In the following sections of the research piece, which will go deeper into the procedural features, case studies, and broader ramifications of mercy petitions in the context of India, it serves as a foundation for the remaining sections.

3. Legal Framework of Mercy Petitions in India

The procedure for submitting a petition for mercy in India gets its origins in the Constitution of the country as well as statutory regulations. Both Article 72 and Article 161 of the Constitution contain the principal provisions that pertain to appeals for mercy or forgiveness.

3.1. Article 72 of the Indian Constitution:

- Empowers the President of India to suspend, remit, or commute the sentence of any individual convicted of any offense, or grant pardon, reprieves, or respite of punishment.

- The President's authority encompasses cases in which the punishment or sentence is administered through a court-martial, cases in which the offenses pertain to laws that are

within the executive power of the Union, and all cases in which the sentence is death.

3.2. Article 161 of the Indian Constitution :

- The Act provides the Governors of the states with the authority to award pardons, reprieves, respites, or remissions of penalty, as well as the authority to suspend, remit, or commute the sentence of any individual who has been convicted of any infraction against any statute that is related to a matter that falls under the purview of the executive power of the state government. These constitutional provisions establish a dual framework for the exercise of clemency powers at both the central and state levels.

3.3 Role of the President and Governors in the Mercy Petition Process

A. President of India :

- The President utilizes the authority to grant Mercy based on the recommendations of the Council of Ministers, led by the Prime Minister.

- Typically, the procedure begins with the Ministry of Home Affairs conducting a comprehensive review of the petition. Following this, the Ministry will then provide a recommendation to the President.

- A complete pardon, commutation of the sentence to a lower one, remittance of the punishment, or rejection of the petition are all possible outcomes that could result from the President's decision.

B. Governors of States :

- The Governors exercise their clemency powers on the advice of the state's Council of Ministers.

- The process entails a comparable examination and referral procedure at the state level, which frequently involves the Home Department of the state.

- The power of the Governor, similar to that of the President, may encompass a variety of forms of clemency or the rejection of the petition from the Governor.

3.4 Judicial Review and Precedents

There is a well-established precedent in Indian law that allows for the authority of judicial review to be exercised over the exercise of compassion powers by the President and Governors. In order to guarantee that the use of mercy powers is not arbitrary and is subject to certain legal and procedural protections, the judicial system has established a number of precedents.

A. Judicial Review:

- For the purpose of ensuring that the decisions taken in mercy petitions are in accordance with the law and the principles of natural justice, the Supreme Court and High Courts have the ability to examine those decisions. When a decision is subject to judicial review, the primary concern is whether or not it was taken without adequate consideration, whether or not it was affected by extraneous or irrelevant considerations, or whether or not it breached equitable and just principles.

B. Key Precedents:

- **Kehar Singh v. Union of India (1989)**: The Supreme Court of the United States ruled that the power granted to the President by Article 72 is not absolute and does have the potential to be susceptible to judicial scrutiny in the event that there is evidence of arbitrary or malicious behavior.

- **Epuru Sudhakar v. Government of Andhra Pradesh (2006)**: It was reaffirmed by the Supreme Court that the clemency powers of the President and Governors are subject to judicial scrutiny. This is especially true in situations when the exercise of such power is arbitrary, discriminatory, or not done in good faith.

- **Shatrughan Chauhan v. Union of India (2014)**: Following the establishment of rules for the exercise of mercy powers in instances involving the death penalty, the Supreme Court emphasized the importance of making decisions in a timely manner and with sound reasoning in order to prevent unnecessary

delay, which might result in extreme mental anguish for the convicted individual.

These constitutional provisions, the duties of the President and Governors, and judicial precedents form the backbone of the mercy petition process in India. They ensure that the procedure is carried out within a legal framework that strikes a balance between the discretion of the executive branch and the oversight of the judiciary.

4. Processes Involved in Mercy Petitions

Step-by-Step Analysis of the Mercy Petition Process

. Filing the Petition :

- It is permissible for the convicted individual, members of their family, or legal representatives to submit a petition for compassion. Following which, The petition is delivered to either the President of India or the Governor of the state in which it is being submitted.

B. Forwarding the Petition :

- In the event that it is presented to the Governor, it is then sent to the President following the Governor's advice at that point. It is the responsibility of the President's Secretariat to transmit the petition to the Ministry of Home Affairs (MHA).

C. Review by the Ministry of Home Affairs (MHA) :

- In conjunction with the state government and any other agencies that are pertinent, the MHA conducts an investigation into the petition. After the said investigation is done, an in-depth report is compiled by the MHA, consisting of contributions from the authorities in charge of the prisons, the police, and the judicial system.

D. Role of the Cabinet :

- Following an examination of the petition, which takes into account both legal and humanitarian considerations, the Cabinet comes up with a proposal. Generally speaking, the President is required to follow this advice in

order to guarantee that the choice is in accordance with the policies and ideals of the executive branch. It is the responsibility of the Cabinet to guarantee that a thorough review is conducted, taking into account justice, mercy, and the interests of the public, before a final decision is reached about the petition.

E. President's Decision :

- The President reviews the case and the recommendations.
- The President can accept, reject, or seek further information.
- The decision is communicated back to the MHA, which informs the state government and the petitioner.

4.1 Criteria for Acceptance and Rejection of Mercy Petitions

- In case of Acceptance :
 - Instances in which there is evidence of judicial errors or instances in which fresh evidence has come to light
 - Instances where the convict is suffering from a severe disease or mental health concerns, which are considered to be humanitarian grounds
 - It is important to take into account the socio-economic background and the circumstances that led to the crime. The submission of mercy recommendations by prominent public persons or institutions is also a criteria.
- In case when the Petition s Rejected:
 - Scenarios where there was no judicial error and there was clear evidence of guilt.
 - Cases where the the heinous nature of the crime and the harm it caused to society shook the whole Nation and when There are no compelling humanitarian benefits.
 - Recommendations received from the judicial system and government agencies that were part in the review process that were negative.

5. Comparative Analysis with Other Countries' Practices

- United States :
 - For federal offenses, the President can grant clemency. - Step one is to submit a formal request to the Department of Justice's Office of the Pardon Attorney. - No court may overturn an executive order of the president.
- United Kingdom :
 - The Royal Prerogative of Mercy is exercised by the King/Queen, frequently on the advice of the government during times of crisis. A review of petitions is conducted by the Criminal Cases Review Commission (CCRC), which is responsible for conducting investigations into potential violations of justice.
- Canada :
 - In accordance with the recommendations of the Prime Minister and the Cabinet, the Governor General has the authority to grant clemency. The Department of Public Safety and the Parole Board of Canada are the departments that are in charge of administering the process. In comparison, the process that is followed in India is characterized by a multi-tiered review system that integrates contributions from a number of governmental and judicial authorities. This is in contrast to the approach that is used in the United States. This strategy takes into account a wide range of administrative, legal, and humanitarian concerns pertaining to the situation. At the same time as the objective of this complete review approach is to ensure that a decision is made in a manner that is both fair and impartial, it is possible that it will also result in a delay in the accomplishment of the desired goal.

6. Case Studies and Analysis

Herein are some of the Prominent Cases in Indian Judiciary which set perimeter and criteria for Mercy Petition and Remission in India

A. Kehar Singh v. Union of India (1989)

After being found guilty of his part in killing Prime Minister Indira Gandhi, Kehar Singh asked for forgiveness. His plea was finally turned down. This decision showed how bad the crime was and how seriously the Indian legal and executive processes take terrorism and political killings. The Cabinet talked about how important it is to uphold justice and the rule of law after looking over the plea. This is especially true when there is a threat to the nation's democracy and safety. The fact that Singh's plea for mercy was turned down by the state showed that it has a strict policy against crimes that threaten national leaders and upset the smooth running of politics.

B. Dhananjay Chatterjee (2004)

- In the case of Dhananjay Chatterjee, who was convicted of raping and murdering a young girl, his mercy petition was rejected, leading to his execution. This outcome highlighted the judiciary's emphasis on deterrence and the imperative of delivering justice in heinous crimes. The rejection of the mercy petition underscored the seriousness with which the legal system treats such brutal offenses, reflecting a commitment to uphold public safety and moral order. The decision was seen as a necessary measure to reinforce societal norms and the rule of law, sending a strong message against such grave violations of human rights.

C. Devender Pal Singh Bhullar (2013)

- Background: Bhullar was found guilty of his role in a bomb explosion that resulted in the deaths of multiple individuals. The outcome was that his petition for compassion was first denied; but, because of his mental health condition, there were major delays and discussions.

The investigation brought up significant concerns regarding the humane treatment of inmates and the influence that long-term incarceration has on the mental health of those who are incarcerated.

7. Factors Influencing Outcomes: Legal, Political, Social, and Humanitarian Considerations

One of the most important aspects that plays a role in influencing the outcome of mercy petitions is the gravity of the offense. When it comes to crimes that are more severe, particularly those that involve terrorism, crimes against the state, or atrocious acts of violence, there is a tendency for the punishment to be more harsh. The necessity to protect justice and discourage future criminal behaviors is the driving force behind this method, which has its roots in the rationale behind it. For example, the serious nature of the crimes committed by Kehar Singh, who was engaged in the assassination of Prime Minister Indira Gandhi, and Dhananjay Chatterjee, who was convicted of raping and murdering a young girl, led to the denial of their appeals for compassion. Both of these individuals were sentenced to life in prison without the possibility of parole. By imposing severe punishments on those who commit the most egregious crimes, these verdicts demonstrate the judiciary's dedication to preserving public order and safety.

The results of requests for mercy are significantly influenced by prior judicial decisions. The legal system makes sure that the law is applied consistently and fairly by using previous rulings as a guide for recent choices. Legal procedures are made more stable and predictable by precedents, which offer the judiciary a framework within which to function. When prominent cases such as those of Dhananjay Chatterjee and Kehar Singh were rejected, it demonstrated a respect for established legal norms and standards. By upholding these decisions, the court demonstrates its commitment to justice and deterrence by making it abundantly evident that serious offenses will have serious repercussions.

Political Aspects: - Government Stance: The political climate of the period and the position that the government takes on maintaining law

and order can have a significant impact on the decisions that are made.

- Concerns pertaining to international relations may be pertinent in circumstances that include individuals who are not citizens of the United States.

Social Aspects: - Public sentiment: public opinion, particularly in well-publicized cases, can exert pressure on the government to grant or deny pleas for compassion.
- Victim Advocacy: The families' and communities' advocacy has a significant influence on the decision-making process.

Humanitarian Aspects: - There is a possibility that the petitioner's physical and mental health will be taken into consideration, which could lead to the execution being delayed or commuted.

Potential for Rehabilitation: In a select circumstances, the overall impression of reform and rehabilitation potential may have an effect on the outcomes.

8. Impact of Media and Public Opinion on Mercy Petition Decisions

Media Influence :

- Narrative Framing : When a case is presented in the media, it has a significant impact on how the general public perceives it, which in turn influences how lawmakers choose to proceed with the case.
- Campaigns and Coverage : Large-scale media campaigns have the potential to sway public opinion and political will in favor of or against a petition for mercy.
- Public Opinion : Protests, petitions, and large-scale public campaigns can impact outcomes by drawing attention to the moral and ethical implications of a case.
- Social Media Over the course of the past few years, social media has evolved into a powerful tool that can be used to sway public opinion and

generate support or opposition to appeals for mercy.

We can say, a complex interaction of legal, political, social, and humanitarian variables affects the results of mercy petitions in India. Decisions are also greatly influenced by public opinion and the media, which represent the larger social ideals and issues.

8. Conclusion

India, mercy petitions are an essential tool for striking a balance between the legal system's requirements for justice, compassion, and governance. A thorough humanitarian evaluation of capital punishment and other severe penalties is made possible by the process's established protocols and constitutional provisions like Articles 72 and 161. The judicial precedents that have created the legal framework guarantee that executive clemency is applied fairly and with appropriate caution. The examination of noteworthy examples brings to light the intricate interactions between legal, political, social, and humanitarian elements that affect the results of mercy petitions. The process is rigorous and multi-layered, as seen by the President and Governors' engagement, which is driven by suggestions from other government agencies. Furthermore, decisions are heavily influenced by public opinion and media coverage, which reflects ethical issues and society ideals. Improving the mercy petition process's fairness and openness is crucial for practitioners and policymakers alike. Clear guidelines should be established, personnel should receive regular training, documentation and reporting should be improved, independent review bodies should be established, and time-bound procedures should be put in place. Encouraging an informed and accountable system requires legal reforms and public discourse, bolstered by stakeholder engagement and responsible media activity.

Case study lessons emphasize the value of thorough analysis, best practices, and cross-jurisdictional learning and are a significant

resource for advocates, legislators, and legal practitioners. Stakeholders can work toward a more just and compassionate criminal justice system that complies with international human rights standards and upholds the values of compassion and dignity in the administration of justice by incorporating these lessons into policy creation and advocacy campaigns.

References

1. Basu, Durga Das. Commentary on the Constitution of India. 9th ed., LexisNexis Butterworths Wadhwa Nagpur, 2014.
2. Chandra, U., and R. K. Sinha. Clemency Powers of the Indian President and Governors: A Comparative Study. Eastern Book Company, 1998.
3. Jain, M. P. Indian Constitutional Law. 8th ed., LexisNexis Butterworths Wadhwa Nagpur, 2018.
4. Seervai, H. M. Constitutional Law of India. 4th ed., Universal Law Publishing, 2015.
5. Kirpal, B. N., et al. "Supreme but Not Infallible: Essays in Honour of the Supreme Court of India." Oxford University Press, 2004.
6. Nariman, Fali S. "Executive Clemency in India: Constitutional and Legal Framework." *Indian Journal of Constitutional Law*, vol. 6, no. 2, 2014, pp. 45-67.
7. Raju, Mukund P. "The Role of Mercy Petitions in the Indian Legal System." *International Journal of Human Rights*, vol. 9, no. 1, 2017, pp. 35-54.
8. Singh, P. P. "Clemency Powers of the President and Governors in India." *Journal of Indian Law and Society*, vol. 8, no. 1, 2016, pp. 23-40.
9. *Kehar Singh v. Union of India*, (1989) 1 SCC 204.
10. *Epuru Sudhakar & Anr. v. Government of Andhra Pradesh & Ors.*, (2006) 8 SCC 161.
11. *Shatrughan Chauhan v. Union of India*, (2014) 3 SCC 1.
12. *Dhananjay Chatterjee v. State of West Bengal*, (1994) 2 SCC 220.
13. *Devender Pal Singh Bhullar v. State of NCT of Delhi*, (2013) 6 SCC 195.
14. Ministry of Home Affairs, Government of India. "Annual Report." Various Years.
15. Law Commission of India. Report No. 262 on the Death Penalty. 2015.
16. National Human Rights Commission (NHRC). "Report on the Use of Clemency Powers in India." 2019.